

USANATO Management Control Evaluation Checklist
Line of Duty Investigations (AR 600-8-4)

HQ USANATO Functional Proponent – DCS, G1

DATE: 3 Feb 05

DCS Initials: Ug

Instructions. Answers must be based on the actual testing of key management controls (e.g., document analysis, direct observation, sampling, simulation, records review, etc.). Answers that indicate deficiencies (“No” or “Not applicable”) must be explained and corrective action indicated in supporting documentation. Certification that this evaluation has been conducted must be accomplished on DA Form 11-2-R, Management Control Certification Statement.

Key Management Controls.

1. Was a legal review conducted for formal LODI per para 40-9?
2. Were appeal rights offered to soldiers for whom an adverse finding has been rendered per para 41-16?
3. Were denied appeals referred to PERSCOM, ATTN: TAPC-PED-S for final adjudication per para 41-16?
4. Was the LODI processed per times prescribed in tables 40-1 and 40-2?

Comments. Help make this a better tool for evaluating management controls. Submit comments to the USANATO MCA.